



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/918,610	
	Filing Date	July 30, 2001	
	First Named Inventor	James A. Billmaier	
	Group Art Unit	2611	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	6 **	Attorney Docket Number	10003.000300 (digeo 132)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO/SB/08; Copies of listed references (8 documents); Return receipt postcard
Remarks		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	November 29, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 29, 2001			
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Signature		Date	Nov. 29, 2001

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Status D19
PRE/EXAM PROCESSING
Location 03CO INITIAL - EXAM CENTRAL
FILES.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James A. Billmaier, Donald P. De Leva,
and Robert E. Novak

Serial No. 09/918,610

Filed: July 30, 2001

Title: **System and Method For Using User-Specific Information To Configure And Enable Functions In Remote Control, Broadcast and Interactive Systems**

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Examiner: not yet known

Art Unit: 2611

Atty. Dkt. No.: 10003.000300 (digeo 132)

Commissioner of Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).



- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

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- ☒ 37 CFR §1.97(b).
- ☐ 37 CFR §1.97(c). If so, then either:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
- OR
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).



Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

James A. Billmaier, et al.

Dated: November 29, 2001

By: *Arnold M. deGuzman*

Arnold M. deGuzman

Attorney For Applicant(s)

Reg. No. 39,955

DEGUZMAN OKAMOTO & BENEDICTO LLP

P.O. Box 51900

Palo Alto, CA. 94303

Tel.: (650) 691-2030

Fax: (650) 691-2032

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Signature:	<u><i>Arnold M. deGuzman</i></u>		
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	09/918,610
Filing Date	July 30, 2001
First Named Inventor	James A. Billmaier
Group Art Unit	2611
Examiner Name	not yet known
Attorney Docket Number	10003.000300 (digeo 132)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number	09/918,610
Filing Date	July 30, 2001
First Named Inventor	James A. Billmaier
Group Art Unit	2611
Examiner Name	not yet known
Attorney Docket Number	10003.000300 (digeo 132)

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B	Welcome to Smart Cards. Copyright 1998, Gemplus, Copyright Brevet Innovatron	
	C	RSA Security. PKCS#5: Cryptographic Token Information Format Standard Webpage[online]. RSA Security 2001 [Retrieved on March 30, 2001]. Retrieved from the Internet <URL:http://rsasecurity.com/rsalabs/pkcs/pkcs-15/index.html>.	
	D	Best EBusiness Web Links. Webpage [online]. Search EBusiness 2001 [retrieved on March 6, 2001]. Retrieved from the Internet <URL:http://searchbusiness.techtarget.com/searchEBusiness_Editors_/0,283026,00.html>.	
	E	Smart Card - a what is definition. Webpage [online]. Techtarget.com, Inc. 2001 [retrieved on March 6, 2001]. Retrieved from the Internet: <URL:http://whatls.techtarget.com/whatls_Definition_Page/0283821,283026,00.html>.	
	F	Smart Card - Webopedia Definition and Links. Webpage [online]. PCwebopaedia 2001 [retrieved on March 6, 2001]. Retrieved from the Internet <URL:http://pcwebopaedia.com/TERM/s/smart_card.html>.	
	G	Smart Cards. Operating System White Paper. Webpage [online] Microsoft Corporation 2001. [retrieved on March 6, 2001]. Retrieved from the Internet <URL:http://microsoft.com/TechNet/win2000/smtcard.asp.html>.	
	H	Robichaux on Security - March 2000. Webpage [online]. Microsoft Corporation, 2001 [retrieved on March 6, 2001]. Retrieved from the Internet <URL:http://microsoft.com/TechNet/security/ro031300.asp.html>.	

Examiner Signature

Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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